IFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: Taiji EMA et al.

Serial No.: 10/797,188

Filed: March 11, 2004 Confirmation No.: 4959

For: SEMICONDUCTOR DEVICE AND METHOD FOR FABRICATING

THE SAME

Attorney Docket Number:

960045E

Customer Number:

38834

<u>PURSUANT TO 37 C.F.R. §1.97(b)</u>

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Date: April 19, 2005

Sir:

In compliance with 37 C.F.R. §1.56, Applicants direct the attention of the Patent and Trademark Office to the documents listed on the attached PTO/SB/08A. This paper is being filed within the time periods set forth in 37 C.F.R. §1.97(b). A copy of each non-U.S. document is enclosed herewith.

If there are any fees due in connection with the filing of this paper, please charge Deposit Account No. 50-2866.

Respectfully submitted,

WESTERMAN, HATT RI, DANJELS & ADRIAN, LLP

Stephen G. Adrian

Registration No. 32,878 Telephone: (202) 822-1100

Facsimile: (202) 822-1111

SGA/ll

Enclosure: PTO/SB/08A and 12 Documents

Substitute for Form 1449 A & B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet of

| Complete if Known | | | | |
|------------------------|------------------|--|--|--|
| Application Number | 10/797,188 | | | |
| Confirmation Number | 4959 | | | |
| Filing Date | March 11, 2004 | | | |
| First Named Inventor | Taiji EMA et al. | | | |
| Art Unit | Not Yet Assigned | | | |
| Examiner Name | Not Yet Assigned | | | |
| Attorney Docket Number | 960045E | | | |

| U.S. PATENT DOCUMENTS | | | | | | |
|-----------------------|--------------------------|------------|-----------------------------------|------------------|---|--|
| | 0:4 | Document 1 | Number | Publication Date | | |
| Examiner Initials* | Cite No. ¹ | Number | Kind Code ² (if known) | MM-DD-YYYY | Name of Patentee or Applicant of Cited Docume | |
| | | US | | | | |
| | | US | | | | |
| | | US | | | | |
| | | US | | | | |
| | | US | | | | |
| | | US | | | | |
| | | US | | | | |
| | | US | | | | |
| | | US | | | | |

| FOREIGN PATENT DOCUMENTS | | | | | | | |
|--------------------------|--------------|------------------------------|---------------------|-----------------------------------|------------------|-----------------------------|--------------------------|
| Examiner Initials* | Cite No.1 | Foreign Patent Document | | | Publication Date | Name of Patentee or | m |
| | | Country Code ³ | Number ⁴ | Kind Code ⁵ (if known) | MM-DD-YYYY | Applicant of Cited Document | Translation ⁶ |
| | 1 | JP | 3-64964 | | 03-20-1991 | Toshiba Corp. | Abstract |
| | 2 | JP | 3-174765 | | 07-29-1991 | OKI Electric Ind. Co. Ltd. | Abstract |
| | 3 | JP | 3-174766 | | 07-29-1991 | Toshiba Corp. | Abstract |
| | 4 | JP | 5-136369 | | 06-01-1993 | Sharp Corp. | Abstract |
| | 5 | JP | 5-145036 | | 06-11-1993 | Toshiba Corp. | Abstract |
| | 6 | JP | 5-218332 | | 08-27-1993 | Samsung Electron Co. Ltd. | Abstract |
| | 7 | JP | 5-243517 | | 09-21-1993 | NEC Corp. | Abstract |
| | 8 | JP | 5-304269 | | 11-16-1993 | NEC Corp. | Abstract |
| | 9 | JP | 6-37272 | | 02-10-1994 | Toshiba Corp. | Abstract |
| | 10 | JP | 6-37273 | | 02-10-1994 | Toshiba Corp. | Abstract |
| | 11 | JP | 6-37280 | | 02-10-1994 | Toshiba Corp. | Abstract |
| | 12 | JP | 6-120447 | | 04-28-1994 | Mitsubishi Electric Corp. | Abstract |

| NON PATENT LITERATURE DOCUMENTS | | | |
|---------------------------------|--------------|--|--------------------------|
| Examiner Initials* | Cite No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published. | Translation ⁶ |
| | | | |
| | | | - |
| | | | |
| | | | |
| | | | <u> </u> |

| | | |
|--------------------|------|------------|
| Examiner Signature | Date | Considered |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.